

# Public Report Sustainable Forest Management System

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CLIENT NAME: PT RIAU ANDALAN PULP AND PAPER

TOTAL HECTARES: 262,473 ha

SCOPE OF REGISTRATION: Sustainable Forest Management of 262,473 hectares of Plantation Forest in Riau Province

STANDARD / CRITERIA: IFCC SFM & CLIENT DOCUMENTED MANAGEMENT SYSTEM

TYPE OF AUDIT: Additional Surveillance due to Covid-19

AUDIT START DATE: November 30, 2020

In signing this document the Audit team confirms that they have had no involvement with the company under audit in terms of consultancy, training, direct employment etc. within the last 2 years and have no other involvement (financial, shareholding or commercial) that would constitute a Conflict of Interest

AUDIT END DATE: December 06, 2020

LEAD AUDITOR: Andri Wibisono

SIGNATURE:

AUDIT TEAM: Abdullah Mujahid

SIGNATURE:

Warsito

SIGNATURE:

MAJOR CARS: 0

MINOR CARS: 0

SITE NAME (Legal Name):	ADDRESSES OF COMPANY SITES VISITED including country	DATE OF EACH SITE VISIT:
Head Office of PT Riau Andalan Pulp and Paper	Pangkalan Kerinci Village, Pangkalan Kerinci District, Pelalawan Regency, Riau Province - Republic of Indonesia	November 30, 2020
Estate Langgam	Segati Village, Langgam District, Pelalawan Regency, Riau Province - Republic of Indonesia	December 1 to 2, 2020
Pelalawan Estate	Pelalawan Village, Pelalawan District, Pelalawan Regency, Riau Province - Republic of Indonesia	December 3 to 4, 2020
Estate Teso	Gunung Sari Village, Gunung Sahilan District, Kampar Regency, Riau Province - Republic of Indonesia	December 5 to 6, 2020
SITE NAME (Legal Name):	ADDRESSES OF ADDITIONAL SITES NOT VISITED BUT STILL COVERED BY THE REGISTRATION INCLUDING COUNTRY - TO BE COMPLETED IF CLIENT IS UNDER A SITE SAMPLING AUDIT PLAN	
West Meranti Estate	Teluk Meranti Village, Teluk Meranti District, Pelalawan Regency, Riau Province - Republic of Indonesia	
Estate Baserah	Gunung Melintang Village, Kuantan Hilir District, Kuantan Singingi Regency, Riau Province - Republic of Indonesia	

Estate Mandau	Lubuk Jering Village, Sungai Mandau District, Siak Regency, Riau Province - Republic of Indonesia
Estate Cerenti	Munsalo Village, Central Kuantan District, Kuantan Singingi Regency, Riau Province - Republic of Indonesia
Estate Logas	Petai Village, Singingi Hilir District, Kuantan Singingi Regency, Riau Province - Republic of Indonesia
Estate Ukui	Lubuk Kembang Bungo Village, Ukui District, Pelalawan Regency, Riau Province - Republic of Indonesia

#### Brief Description of the concession

PT Riau Andalan Pulp and Paper has obtained IUPHHK-HTIs (Business Permit for Utilization of Timber Forest Products in Industrial Plantation Forest) as follows:

- Minister of Forestry Decree No. 130 / Kpts-II / 1993 dated 27 February 1993, the total area was about 300,000 ha
- Addendum 1: Decree of the Minister of Forestry No. 137 / Kpts-II / 1997 dated March 10, 1997, the total area was about 159,500ha
- Addendum 2: Decree of the Minister of Forestry No: SK. 356 / Menhut-II / 2004, dated October 1, 2004, the total area was about 235,140 ha
- Addendum 3: Decree of the Minister of Forestry No: SK. 327 / Menhut-II / 2009, dated 12 June 2009, the total area was about 350,165 ha
- Addendum 4th: Decree of the Minister of Forestry No: SK. 180 / Menhut-II / 2013, dated 21 March 2013. Area is about 338,536 ha

PT Riau Andalan Pulp And Paper has a Management Plan document, namely the Revised RKUPHHK-HTI, which was ratified based on Decree No. 6058 / MenLHK-PHPL / UHP / HPL.1 / 6/2019 dated June 28, 2019 concerning the Ratification of Revised Business Plans for Utilization of Timber Forest Products in Industrial Plantation Forest (RKUPHHK-HTI) for 2017 to 2026 Period on behalf of PT Riau Andalan Pulp and Paper in Riau Province.

The spatial layout of PT Riau Andalan Pulp and Paper based on the Decree of Minister of Environment and Forestry number SK 6058/Men-lhk-PHPL/UHP/UHP//HPL/EPL.1/6/2019 dated 28 June 28, 2019 is Local Protected Areas and Other Protected Areas of 59,096 ha (17.46%), Staple Plant Area of 208,304 ha (61.53%), and Livelihood Plant Area of 71,136 ha (21.01%), for a total of 338,536 ha.

The condition of the forest based on the Forest and Waters Area Map of Riau Province and the Riau Province Spatial Plan is as follows: HP (Permanent Production Forest) of 295,411 ha, HPT (Limited Production Forest) of 38,253 ha, HPK (Conversion Production Forest) of 241 ha, APL (Area of Other Use) of 3,890 ha, KSA/KPA (Area of Nature Reserve/Nature Conservation Area) of 664 ha, River of 77 ha, for a total of 338,536 ha.

The certification at PT Riau Andalan Pulp And Paper (RAPP) is a multi-site certification, where the registered work areas consist of nine (9) registered estates, as follows: Estate Pelalawan, Estate Langgam, Estate Teso, Estate Mandau, Estate Ukui, Estate Baserah, Cerenti Estate, Logas Estate and Meranti West Estate. There are estates that are not registered for certification, Pulau Padang Estate, Tasik Belat Estate and Meranti East Estate. Therefore the total area to be IFCC certified is 262,473 ha, and the wood products produced have a claim of 100% PEFC Certified.

Area to be certified, geographic coordinates, and species developed of PT Riau Andalan Pulp and Paper are:

1. Baserah Estate with an area of 24,855 ha, located at coordinates 101° 30' 00" to 101° 54' 00" East and 00° 07' 00" to 00° 25' 00" South with species developed being *Acacia mangium* and *Eucalyptus sp.*
2. Cerenti Estate with an area of 30,040 ha, located at coordinates 101° 35' 00" to 101° 54' 00" East and 00° 29' 00" to 00° 43' 00" South with species developed being *Acacia mangium* and *Eucalyptus sp.*
3. Langgam Estate with an area of 6,710, located at coordinates 100° 29' 00" to 100° 40' 00" East and 00° 06' 55" to 00° 11' 20" South, with species developed being *Acacia mangium* and *Eucalyptus sp.*
4. Logas Estate with an area of 24,090 ha, located at coordinates 100° 10' 51" to 100° 22' 57" East and 00° 03' 25" to 00° 12' 59" South with species developed being *Acacia mangium* and *Eucalyptus sp.*
5. Mandau Estate with an area of 23,444 ha, located at coordinates 101° 07' 49" to 101° 20' 15" East and 00° 47' 45" to 00° 51' 00" North, with species developed being *Acacia crassicarpa*
6. Pelalawan Estate with an area of 85,855 ha, located at coordinates 101° 57' 10" to 102° 26' 46" East and 00° 12' 15" to 00° 40' 00" North, with species developed being *Acacia crassicarpa*
7. Teso Estate with an area of 37,205 ha, located at coordinates 100° 14' 00" to 101° 33' 00" East and 00° 10' 00" to 00° 42' 58" South with species developed being *Acacia mangium* and *Eucalyptus sp.*

8. Ukui Estate with an area of 16,312 ha, located at coordinates 101° 18' 00" to 101° 33' 00" East and 00° 10' 00" to 00° 01' 00" South, with species developed being *Acacia mangium* and *Eucalyptus sp*
9. Meranti West Estate with an area of 13,962 ha, located at coordinates 100° 14' 19" to 100° 23' 45" East and 00° 03' 53" to 00° 42' 58" South, with species developed being *Acacia mangium* and *Eucalyptus sp*.

Sampling for this Additional Surveillance Audit is Estate Langgam, Estate Pelalawan and Estate Teso, which have boundaries:

1. Langgam Estate:
  - North side: Kampar Kiri River, PT Mitra Unggul Pusaka plantation
  - South side: HTI PT Nusantara Sentosa Raya, HTI PT Nusa Wana Raya
  - East side: PT Mitra Unggul Pusaka Plantation
  - West side: Kampar Kiri River
2. Pelalawan Estate:
  - North side: Tasik Besar Lake BC
  - South side: HTI PT Selaras Abadi Utama
  - East side: HTI CV Tuah Negeri
  - West side: HTI CV Alam Lestari, CV Bhakti Praja Mulia
3. Estate Teso:
  - North side: HTI PT Nusantara Sentosa Raya, HTI PT Nusa Wana Raya, Kampar Kiri River
  - South side: Former HPH PT Hutani Sola Lestari, PT Wanasari Nusantara Plantation
  - East side: Kampar Kiri River, Teso River, PT Ganda Buanindo Plantation, Ccommunity Garden
  - West side: Ex HPH PT Siak Raya Timber, PT Blang Kolam Plantation, Transmigration Area

The climate type in the work area is A (Schimidt & Ferguson 1955), and the topographical conditions are mostly flat. The site conditions of the working area are peat and mineral soil. The species of plants developed are *Acacia crassicarpa*, *Acacia mangium* and *Eucalyptus sp*.

The Management Unit has complied with Regulations related to improving Peat Governance, namely: PP. No. 57 of 2016 by revising Business Permit for Utilization of Timber Forest Products in Industrial Plantation Forest (RKUPHHK-HTI) for a period of 10 years for 2017 to 2026. Ratified based on the Decree of the Minister of Environment and Forestry of the Republic of Indonesia Number: SK.28 / MenLHK-PHPL / UHP / HPL.1 / 1/2018. January 9, 2018. PermenLHK No. P.16 / Menlhk / Setjen / Kum.1 / 2/2017 by Compilation Documents of the Peat Ecosystem Recovery Plan has received the approval of the Director General of Pollution and Environmental Damage Control No: S.50 / PPKL / PKG / PKL.0 / 5/2018, May 21, 2018. Adjustment of the Business Permit for Utilization of Timber Forest Products in Industrial Plantation Forest (RKUPHHK-HTI) for the 2017 to 2026 period for the 2018 to 2019 Activity Year was approved by the Decree of the Minister of Environment and Forestry of the Republic of Indonesia Number: SK.5261MenLHK- PHPL / UHP / HPL. 8/2018. August 14, 2018. LHK Regulation No. P.10 / MenLHK / Setjen / Kum.1 / 2/2019 on The revision of Business Permit for Utilization of Timber Forest Products in Industrial Plantation Forest (RKUPHHK-HTI) for the 2017 to 2026 period was approved through the Decree of the Minister of Environment and Forestry Number: SK.6058 / MenLHK-PHPL / UHP / HPL.1 / 6/2019 dated June 28, 2019. There is a peat dome peak area covering an area of 9,330 ha spread over the Riparian area of 2,329 ha, KPPN covering an area of 315 ha, and KPSL of 345 ha, Buffer Zone of 3,217 ha, and ex-Livelihood Plant area of 3,124 ha.

#### Description of the socio-economic conditions of the concession and the concession's social programs

PT Riau Andalan Pulp and Paper has identified the rights of indigenous peoples or local communities as stated in the Environmental Impact Analysis (AMDAL) document and the Social Impact Assessment (SIA) document. Prior to the implementation of the Annual Work Plan (RKT), routine outreach is carried out to village communities where logging activities occur. A 2020 RKT socialization document is available for villages that are included in RKT activities, which include socialization, vision and mission, concession area boundaries, conservation areas, CD / CSR programs.

The people living around the work area are local people who mostly work as farmers and gardeners. There are documents of cooperation in the management of industrial plantations with communities around the concession in the form of cooperation on livelihood plants, the formation of Fire Care Communities (MPA), and utilization of Non-Timber Forest Products (HHBK) in the form of forest honey, rattan and freshwater fish.

The Management Unit has procedures for handling claims, complaints and requests for assistance that regulate the process of settling land claimed by the community. A report on mapping and conflict resolution in the first semester of 2020 is available and has been reported to the Riau Provincial Forestry Service. The document contains details of all land that is still controlled by the community around the concession, as well as the efforts that have been made in settling land claims by the community.

In terms of improving the community's economy, PT Riau Andalan Pulp and Paper already has programs, namely: Community Development (CD), Integrated Farming System (IFS) / Agribusiness, Small Medium Enterprise (SME) On Line Program, Small Medium Enterprise (SME) Program Off Line, School Improvement Program, Scholarship Program, Vocational School Program, Vocational Training Program, Assistance Program of Educational Facilities and Infrastructure, Health Program, and Social Infrastructure Program. The implementation of all programs has been assessed / evaluated by the University of Riau with an average score of "good".

There is a plan and realization document for the CD program in 2020, based on the, programs that are realized in the fields of education, economy, social, health, religion, infrastructure with total achievements up to November 2020 being 70 percent. In addition, efforts have been made to prevent the spread of Covid-19, namely: the use of masks, spraying disinfectants, spacing, providing a place to wash hands, checking temperature and rapid test or SWAB test.

#### Description of the ecological conditions of the concession

The Management Unit has carried out identification of forest management activities with significant impacts, and conducting environmental impact assessments through: PT Riau UKL & UPL AMDAL Documents Andalan Pulp and Paper (Decree of the AMDAL Commission of the Ministry of Forestry, 1994 and 1997, Decree of the Governor of Riau 2001 and 2006. Environment of the One-Stop Investment and Integrated Services Service (DPMPTSP per Regency per estate, June 18, 2109, Environmental Permit Implementation Report for semester 2 of 2019 and semester 1 of 2020 per estate.

- The Reduced Impact Logging activity is carried out in stages: before logging activities (pre-harvesting), during harvesting operations, and after logging activities (post-harvesting)
- Identification of areas that function to protect land and water are areas designated as a local protected areas and other protected areas, consisting of:
  1. Estate Langgam  
Local Protected Areas and Other Protected Areas covering an area of 1,417 ha (21%), consisting of: KPPN of 147 ha, Riparian Area of 1,139 ha and the Peat Dome of 131 ha.
  2. Pelalawan Estate  
Local Protected Areas and Other Protected Areas of 11,219 ha (13%), consisting of: Buffer Zone of 800 ha, KPPN of 840 ha, Riparian Area of 5,109 ha and Peat Dome of 4,470 ha.
  3. Estate Teso  
Local Protected Areas and Other Protected Areas of 6,308 ha (17%), consisting of: KPPN of 314 ha and Riparian Area of 5,994 ha.
- The Management Unit has a documented procedure that guides the monitoring of negative impacts due to forest management activities in Monitoring Protected Areas, Discharge and Water Quality, Soil Erosion and Subsidence and TMAT.

The Management Unit has carried out Biodiversity Management activities through the identification and inventory of protected, endemic, rare and threatened / endangered flora & fauna within the concession areas. The results of the

identification and inventory can be found in: AMDAL RKL & RPL documents of PT Riau Andalan Pulp and Paper, Revised RKUPHHK-HTI of 2017 to 2026 and Environmental Permit Implementation Reports for semester 2 of 2019 and semester 1 of 2020.

- Identification of key protected and threatened / endangered species, includes their habitats and their migration patterns and there is a map of the distribution of the flora and fauna.
- Environmental impact assessments have been carried out on potential impacts on protected, endemic, rare and threatened / endangered species of flora and fauna.
- The staple plants cultivated are not genetically modified tree species, being *Eucalyptus Sp*, *Accacia mangium* and *Acacia crassicarpa*.

The Management Unit has carried out Forest Protection activities, whether these are due to human or natural causes.

- Identify and monitor disturbances in Sustainable Forest Management, which are documented in AMDAL RKL document, Revised RPL in RKUPHHK-HTI 2017-2026 and Environmental Permit implementation report for semester 2 of 2019 and semester 1 of 2020.
- Has a documented procedure to prevent and deal with pest and disease infestation, utilizing manual, biological or chemical responses as best suited.
- Regulate the management of chemical pesticides in the field with documented procedures for Weed Control, Integrated Pest and Disease Management, handling spills of fuel, lubricants and chemicals, and for the management of hazardous and toxic waste (B3).

The Management Unit has implemented forest protection measures against fire hazards, illegal logging, forest encroachment, hunting and pests, and the work area has no pastoral activities. The Management Unit has also implemented appropriate / measurable steps in forest protection activities, ranging from preemptive, preventive and repressive activities according to the level of disturbance. Management of forest protection activities are in accordance with applicable standards and regulations.

#### Results of the Public Consultation

Before carrying out the Additional Surveillance Audit, PT AJA Sertifikasi Indonesia conducted a public consultation commencing November 19, 2020 asking stakeholders to provide feedback and information about PT Riau Andalan Pulp and Paper. The public consultation was uploaded to the PT AJA Sertifikasi Indonesia website ([www.ajaindonesia.com](http://www.ajaindonesia.com)), sent to parties via e-mail or postal mail, and published in local newspapers. PT AJA Sertifikasi Indonesia did not receive any input from stakeholders during the public consultation.

The audit team also collected information from the mass media about PT Riau Andalan Pulp and Paper, the reported cases being given material consideration as part of the PT AJA Sertifikasi Indonesia audit process.

The Management Unit has identified all the requirements needed for this certification process, which consist of legal aspects that include compliance with rules and regulations and international conventions. The management system has been built to cover all activities in the field of certification, which is registered for an area covering 262,473 hectares.

#### Findings on the certified client conformity with the certification requirements and description of critical issues in relation to the conformity.

Based on the results of the Additional Surveillance Audit due to Covid-19 of PT Riau Andalan Pulp and Paper, no Non-Conformities of either a Major or Minor nature were identified by the audit team.

#### Conditions under which the certificate is issued

Certificate is valid until (December 09, 2021), provided that PT Riau Andalan Pulp and Paper maintains its surveillance

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PUBLIC AUDIT REPORT - SFM

TO BE SUBMITTED TO THE CLIENT, IFCC AND TO AJA EUROPE



schedule and does not have any major non-conformities at the time of the next recertification visit.