

Public Report Sustainable Forest Management System

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PUBLIC AUDIT REPORT – SFM

TO BE SUBMITTED TO THE CLIENT, IFCC AND TO AJA EUROPE



CLIENT NAME: HUTAN RAKYAT KELOMPOK TANI PARIT LIMBAH

TOTAL HECTARES: 377.78 ha

SCOPE OF REGISTRATION: Sustainable Forest Management of 377.78 ha of Plantation Forest in Riau Province

STANDARD/CRITERIA: IFCC SFM & CLIENT DOCUMENTED MANAGEMENT SYSTEM

TYPE OF AUDIT: Stage 2

AUDIT START DATE: April 25, 2019

AUDIT END DATE: April 27, 2019

In signing this document the Audit team confirms that they have had no involvement with the company under audit in terms of consultancy, training, direct employment etc. within the last 2 years and have no other involvement (financial, shareholding or commercial) that would constitute a Conflict of Interest

LEAD AUDITOR: R Sigit Pamungkas

SIGNATURE:

AUDIT TEAM: Andri Wibisono

SIGNATURE:

Warsito

SIGNATURE:

MAJOR CARS :-

MINOR CARS : 5

SITE NAME (Legal Name):	ADDRESSES OF COMPANY SITES VISITED INCLUDING COUNTRY	DATE OF EACH SITE VISIT:
Kelompok Tani Parit Limbah	Sering Village – Pelalawan District, Pelalawan Regency, Riau Province, Republic of Indonesia	April 25 to 27, 2019
SITE NAME (Legal Name):	ADDRESSES OF ADDITIONAL SITES NOT VISITED BUT STILL COVERED BY THE REGISTRATION INCLUDING COUNTRY – TO BE COMPLETED IF CLIENT IS UNDER A SITE SAMPLING AUDIT PLAN	
	NA	

Brief Description of the concession

Management of Private Forest by Hutan Rakyat (Community Forest) of Kelompok Tani Parit Limbah (HR Kelompok Tani Parit Limbah) based on the Community Forest Concession Agreement of Community Plantation Forests between PT Nusa Prima Manunggal and Kelompok Tani Parit Limbah No 049 / NPM / LGL / IV / 2004. The addendum to the Community Forest Cooperation Agreement No. 07 / NPM / LGL / III / 2013 dated March 18, 2013. Legality of community land is in the form of SKRT (Certificate of Land History) issued by the Village Government and SKPT (Land Ownership Certificate) with the number being 215 households.

The Operational Implementation of the Management Unit is based on the document Management of the Private Forest for the Period of the 2018 to 2027 of Hutan Rakyat Kelompok Tani Parit Limbah. The working area is 377.78 ha. The wood utilization is based on Minutes of Investigation in the Context of Requests for Utilization of Acacia Timber in the area of Hutan Rakyat Kelompok Tani Parit Limbah, Sering Village, Pelalawan District, Pelalawan Regency on February 27, 2019.

There has been no conversion of natural forest to plantation forest since December 31, 2010, because the Management Unit's working area is on community-owned land not within the forest area. Based on the map of area appointment; SK No. 905 / MenLHK / SET-JEN / PLA.2 / 12/2016 dated December 07, 2016 concerning the Map of Riau Province Forest Area, the entire area of Hutan Rakyat Kelompok Tani Parit Limbah is classified in Other Use Area (APL).

According to the government administration, the working area of HR Kelompok Tani Parit Limbah Management Unit is located in Sering Village, Pelalawan District, Pelalawan Regency.

The administrative boundaries of the Management Unit are:

- North: PT. LIH,
- South: Canal of Parit Limbah,
- West: PT Riau Andalan Pulp and Paper,
- East: Community Shrubs.

The topography of the work area is classified as flat with peat soil, so the species developed for production is *Acacia crassicarpa*, with a plant cycle of four (4) years. Arrangement of yields over the four years includes one time harvesting activity. The boundaries of the working area are mostly adjacent to the PT Riau Andalan Pulp and Paper factory area.

Description of the socio-economic conditions of the concession and the concession's social programs

Administratively, the area of HR Kelompok Tani Parit Limbah lies under Sering Village, Pelalawan District, Pelalawan Regency, Riau Province. In the area of Sering Village, there are no indigenous peoples, only local communities that are predominantly ethnic Malay and who work at farming and fishing.

The Management Unit already has a document on Environmental Management Efforts and Environmental Monitoring Efforts (UKL - UPL) that received approval from the Pelalawan District Government in 2007. There are reports on UKL - UPL implementation that have been routinely reported to the Environment and Forestry Service of Riau Province each semester from 2015 to 2018. The socio-economic components monitored are: employment and business opportunities and Community Development (CD) programs.

There is evidence of assistance to the villagers in the form of financial assistance for donations to orphans.

The working area of HR Kelompok Tani Parit Limbah is land owned by the community having legal certification of land ownership from the Head of Sering Village and recognized by the Pelalawan District government. Based on the information from the secretary of the Management Unit, there are no problematic land matters. However, HR Kelompok Tani Parit Limbah does have a conflict resolution procedure should there be cases of land utilization and / or land control without permission by certain parties (claimers).

Description of the ecological conditions of the concession

The Management Unit of HR Kelompok Tani Parit Limbah undertakes activities to minimize negative environmental impacts, the results of which are reported to the requisite authorities on a periodic basis. Among the impacts monitored are various indicators relating to the health of the soil and the quality of air and water.

Components that are managed and monitored across the plantation working areas include physical, chemical and biological components. Physical and chemical components include; microclimate, air quality, soil fertility, soil erosion, river discharge, sedimentation, water quality and fire hazards. Biological components, meanwhile, include not only protected plant and animal species, but also pests and diseases that could cause disruption of the forest.

The Management Unit has identified fauna by making a pocket-book for monitoring animals, the data will be recapitulated every month based on the meeting and reported to PT Nusa Prima Manunggal. HR Kelompok Tani Parit Limbah has identified protected and endangered species, as well as their habitat and migration patterns including landscape considerations, and implements appropriate management measures to minimize the negative impacts of Management Unit operational activities on the species. Identification results indicated that there are three species that are protected by PP No. 7 of 1999, these being elang, bangau and raja-udang.

In order to prevent and deal with various forest disturbances, the Management Unit already has infrastructure, namely a canal network that functions as transportation for patrols and as a firebreak. In addition, there is also a fire extinguisher command post, fire tower and water reservoir for monitoring and handling forest and land fires.

While the use of biological agents rather than of chemicals is prioritized for the treatment of infestation by pests and disease, the Management Unit implements standard methods for the handling of hazardous waste materials (B3), which include an inventory of waste, source and estimated amount. All such waste is regularly collected and retained in temporary storage until its collection by a licensed disposal company.

Results of the Public Consultation

Prior to undertaking the Stage 2 Audit, PT AJA Sertifikasi Indonesia conducted Public Consultation commencing April 10, 2019, which asked stakeholders to provide feedback and information on HR Kelompok Tani Parit Limbah. The public consultation was uploaded to the PT AJA Sertifikasi Indonesia website (www.ajaindonesia.com), sent to related parties via email or postal mail, and announced in local newspapers.

PT AJA Sertifikasi Indonesia received no input from stakeholders during the public consultation. The audit team also collected information from the mass media about HR Kelompok Tani Parit Limbah, such reported cases being given material consideration in the audit process conducted by PT. AJA Sertifikasi Indonesia.

HR Kelompok Tani Parit Limbah has identified all necessary requirements for this certification process, comprising legal aspects that include compliance with international regulations and conventions. The established management system includes all field activities for certification, which is registered for an area of 377.78 ha

Findings on the certified client conformity with the certification requirements and description of critical issues in relation to the conformity.

Results of the Stage 2 Audit of HR Kelompok Tani Parit Limbah identified five (5) Minor Non-Conformities (NC) as listed below. No critical issues were identified:

NO	Non-conformity (NC)	Corrective Action to be Undertaken	Category
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<p>1</p>	<p>The Management Unit already has a List of Regulations, but has yet to evaluate their implementation.</p> <p>Clause Ref. I.1.2.</p>	<p>The Management Unit has prepared an applicable regulation evaluation plan for HR Kelompok Tani Parit Limbah Management.</p> <p>Plans made in August will be presented with the results of evaluating compliance with laws and regulations.</p> <p>The Management Unit has made a checklist of compliance with the laws and regulations for the period of January to December 2019.</p>	<p>Minor</p>
<p>2</p>	<p>The Management Unit has a Vision, Mission and Objectives, but these have not been well socialized in the office, base camp, or work area.</p> <p>Clause Ref. I.1.6</p>	<p>The Management Unit has prepared planning for disseminating vision, mission and plans for HR Kelompok Tani Parit Limbah Management.</p> <p>The plan made in June will distribute the socialization documents of HR Kelompok Tani Parit Limbah's Vision, Mission and Forest Management Plan in office, camp and work area.</p> <p>The Management Unit has shown the results/implementation of the plan made for May 2019; printing sheets on the matter for socialization purposes ; and installing a sign board of the Management Unit's Vision and Mission in the work area.</p>	<p>Minor</p>
<p>3</p>	<p>The Management Unit has not drafted or adapted SOPs for all the forest management activities that have been carried out</p> <p>Clause Ref. I.1.11</p>	<p>The Management Unit has prepared a SOP revision plan relating to HR Kelompok Tani Parit Limbah Management.</p> <p>Plans made in September will be presented with the revised SOPs.</p> <p>The Management Unit has shown the results / implementation of the plan made: drafting the SOP for Planning activities.</p>	<p>Minor</p>

<p>4</p>	<p>The Management Unit's documentation for recording details of the weekly visits by the Operations Coordinator does not cover all required areas for reporting to the Group Chair.</p> <p>Clause Ref. I.3.1</p>	<p>The Management Unit has prepared plans for the revision of the rules for implementation of the supervision of HR Kelompok Tani Parit Limbah Management.</p> <p>The plan made in August will be presented with the revised results of the operational supervision rules, which initially weekly will become quarterly from partners to Farmers Group Managers.</p> <p>The Management Unit has planned a meeting on evaluation of forest management monitoring by the Kelompok Tani Parit Limbah that refers to the Cooperation Agreement.</p>	<p>Minor</p>
<p>5</p>	<p>The Management Unit has not yet prepared Permanent Sample Plots (PSP).</p> <p>Clause Ref. I.5.2</p>	<p>The Management Unit has prepared a time frame for making Permanent Sample Plots at HR Kelompok Tani Parit Limbah.</p> <p>The Management Unit has made a Permanent Sample Plot on May 14, 2019.</p>	<p>Minor</p>

Conditions under which the certificate is issued

Certificate is valid until 26/06/2022 provided that HR Kelompok Tani Parit Limbah completes all corrective actions according to the time schedule proposed in its corrective action responses and does not have the same problem on the next Surveillance Audit visit. If non-conformities are not closed out at the next Surveillance Audit, the certificate will be suspended until such time that AJA is able to perform a closeout visit.